

## **Planning Checklist**

Please use this checklist as a guide to collect and organize your financial information prior to our meeting:

Personal Information
□ Name, address, phone number, date of birth, and social security number for you, your
partner, children/dependents
☐ Name, address, telephone number of your accountant, estate planning attorney and
insurance advisor
Asset and Liability Management
□ Bank statements: checking, savings, CDs
□ Credit card statements
<ul> <li>Loan statements: mortgage, home equity line of credit, student loans, other loans/lines</li> </ul>
□ Current paycheck stub
<ul> <li>Most recent federal and state income tax return</li> </ul>
<ul> <li>Social Security benefit statement for you and your partner (SSA.gov)</li> </ul>
Investment Planning
□ Investment statements: brokerage, mutual funds, and bank statements pertaining to
investments
□ Copies of stock and/or bond certificates
□ Annuity statements
□ Summary of Private Equity holdings
□ Summary of Investment Real Estate holdings
☐ Education savings account statements, 529, UGMA/UTMA, ESA/Coverdell, trusts, etc.
□ Retirement plan statements: 401(k), 403(b), 457, TSA, SEP IRA, SIMPLE IRA, etc.
☐ Statements from your Individual Retirement Accounts (IRAs) and Roth IRAs
□ Employer-sponsored pension plan summary
□ Deferred compensation and/or bonus deferral plans
□ Statement of stock options and/or restricted stock
Liability Management
□ Health, Life, and Disability Insurance Policies
□ Extended Care or Critical Illness Insurance Policy
□ Other (specify):
Estate Planning Strategies - Personal
□ Current copy of your Will
Power of Attorney and Enduring Power of Attorney
□ Trust information
Estate Planning Strategies- Business
□ Buy-Sell Agreements
☐ Life Insurance Policy

Our practice is not a legal or tax advisor. However, we will be glad to work with you, your accountant, tax advisor and or lawyer to help you meet your financial goals. Investment products and services are offered through Wells Fargo Advisors Financial Network, LLC (WFAN), Member SIPC, a registered broker dealer and a separate non-bank affiliate of Wells Fargo & Company. Harm & Harm Financial Consulting Group, LLC is a separate entity from WFAFN.